

# Empathetic engagement drives nonverbal interactions between humans and a small-scale robot

Jude Fogarty<sup>1</sup>, Ifeoma Nwogu<sup>2</sup>, Ryan St. Pierre<sup>2,3</sup>

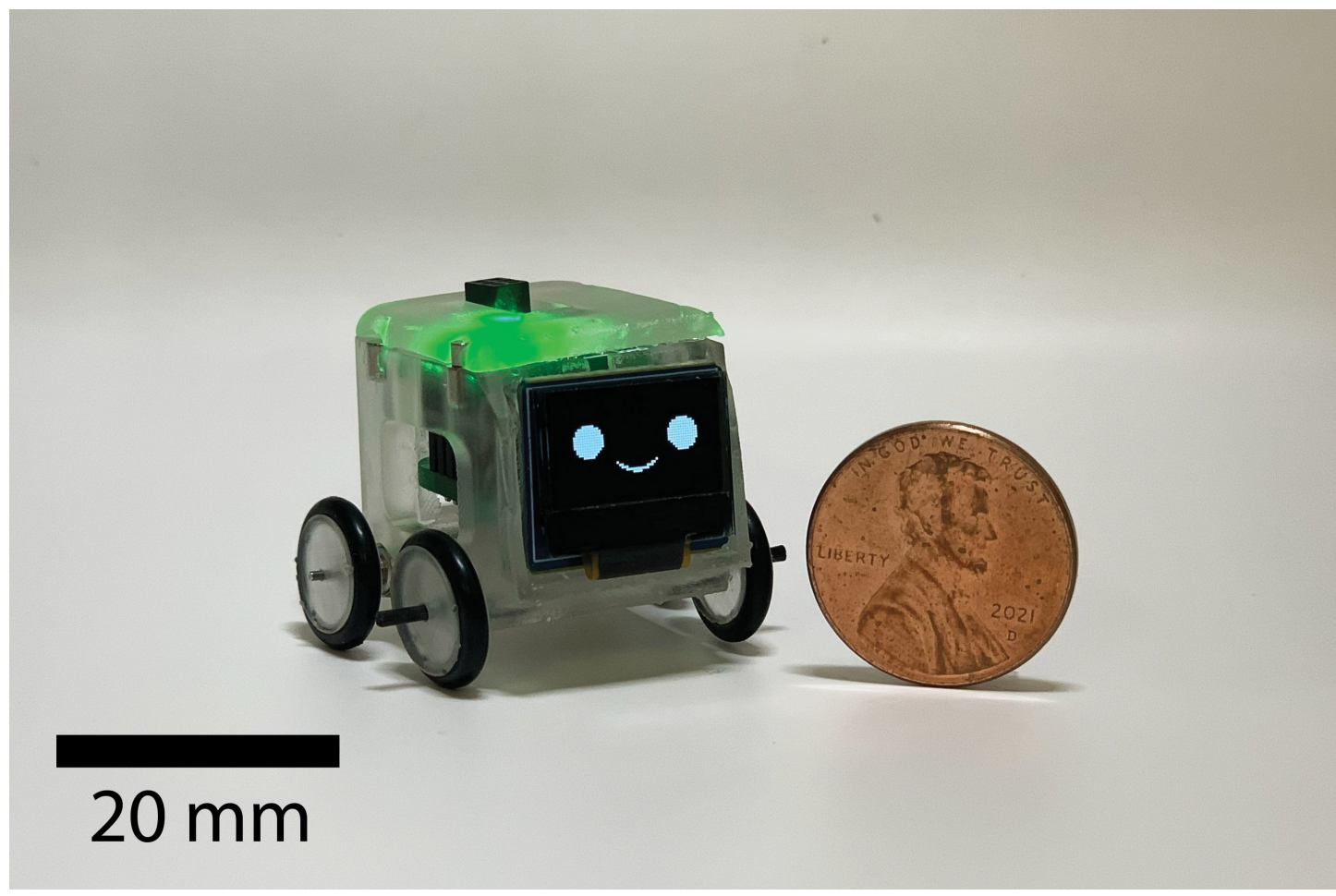
<sup>1</sup>Department of Engineering Education, <sup>2</sup>Computer Science and Engineering, <sup>3</sup>Mechanical and Aerospace Engineering  
University at Buffalo, State University of New York, Buffalo, NY, USA 14260

## Motivation

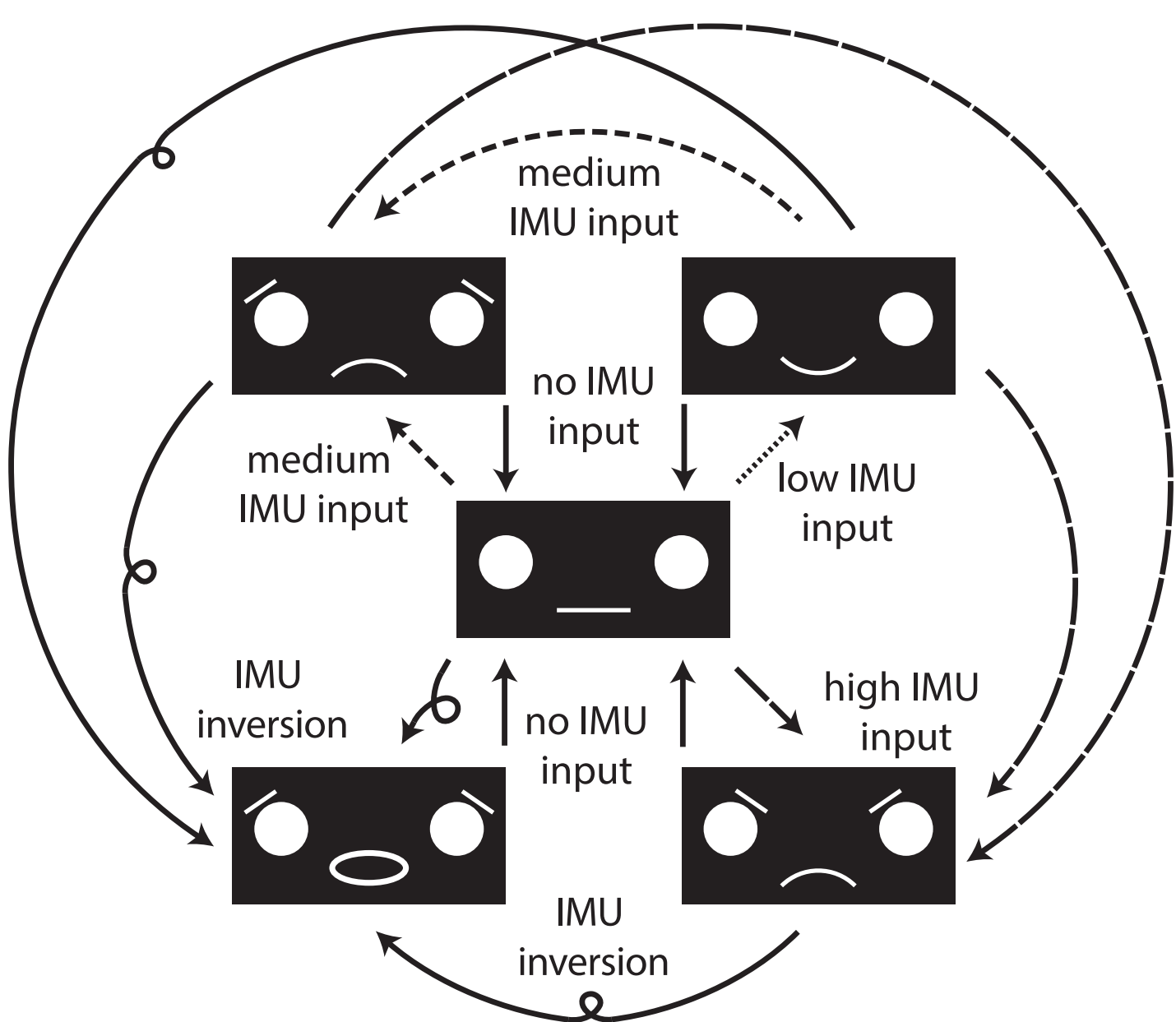
- Our ongoing user-centered design project maps user responses to small-scale, non-humanoid robots.
- Research aims to identify:
  1. Minimal affectively expressive design features.
  2. How users understand and categorize these affective cues in small-scale and non-humanoid forms.

## Robot Design

- The unthreatening size invites tactile interaction between humans and the robot.
- The small size requires focus on hardware that elicits empathetic engagement.



**Fig 1.** The robot is approximately 1 in<sup>3</sup> with a mass of 10.8 g. The robot has on-board sensing and computation.



**Fig 2.** A software finite state machine utilizes an IMU to display affect.

## Methods

- 11 participants recruited using snowball sampling.
- Participants were asked to interact with the robot for up to five minutes and to narrate their thought process as they did so.
- A minimum of 2 out of 3 researchers were present for observations, notes were cross-referenced.



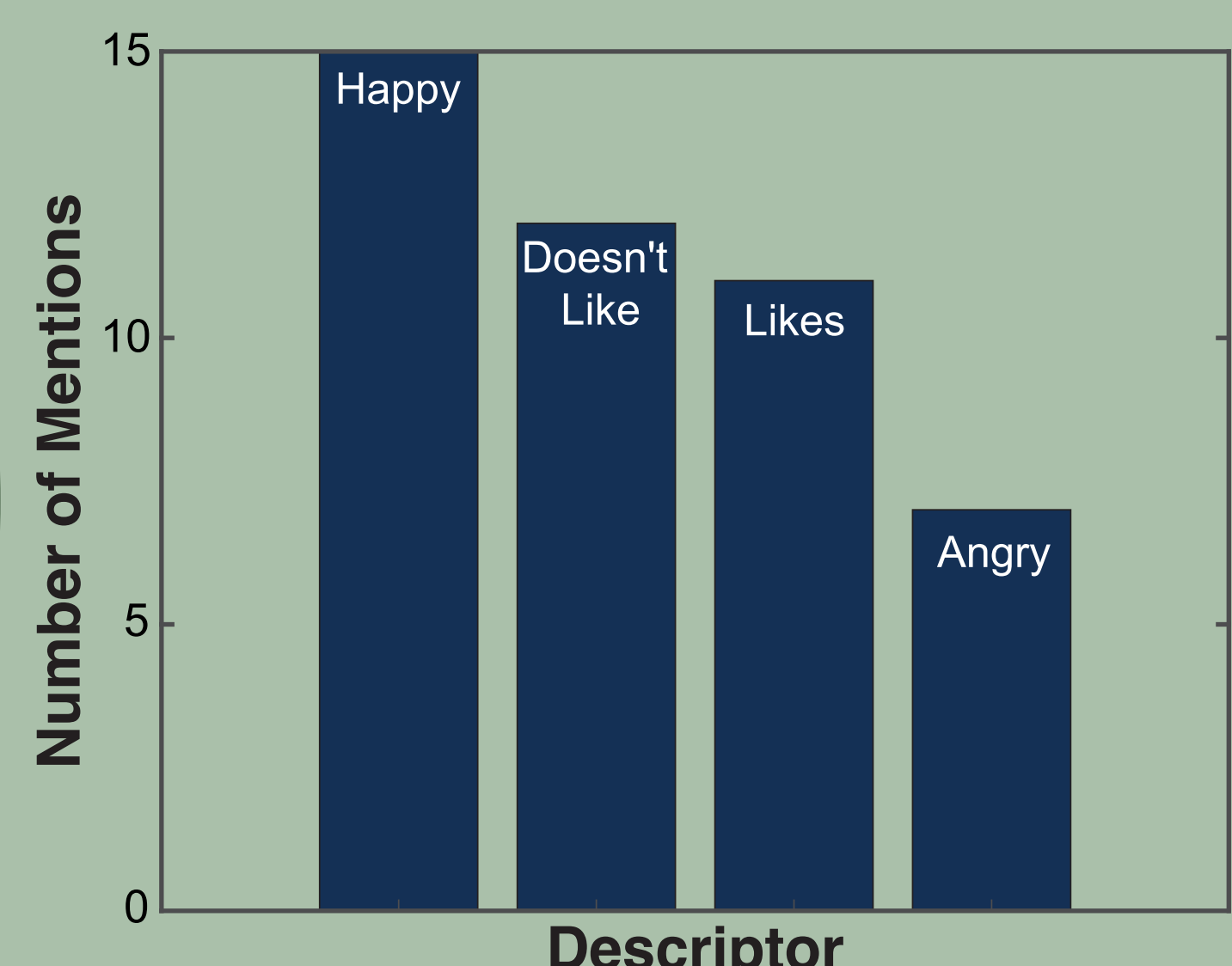
**Fig 3.** A participant interacts with the robot.

## Qualitative Data Analysis

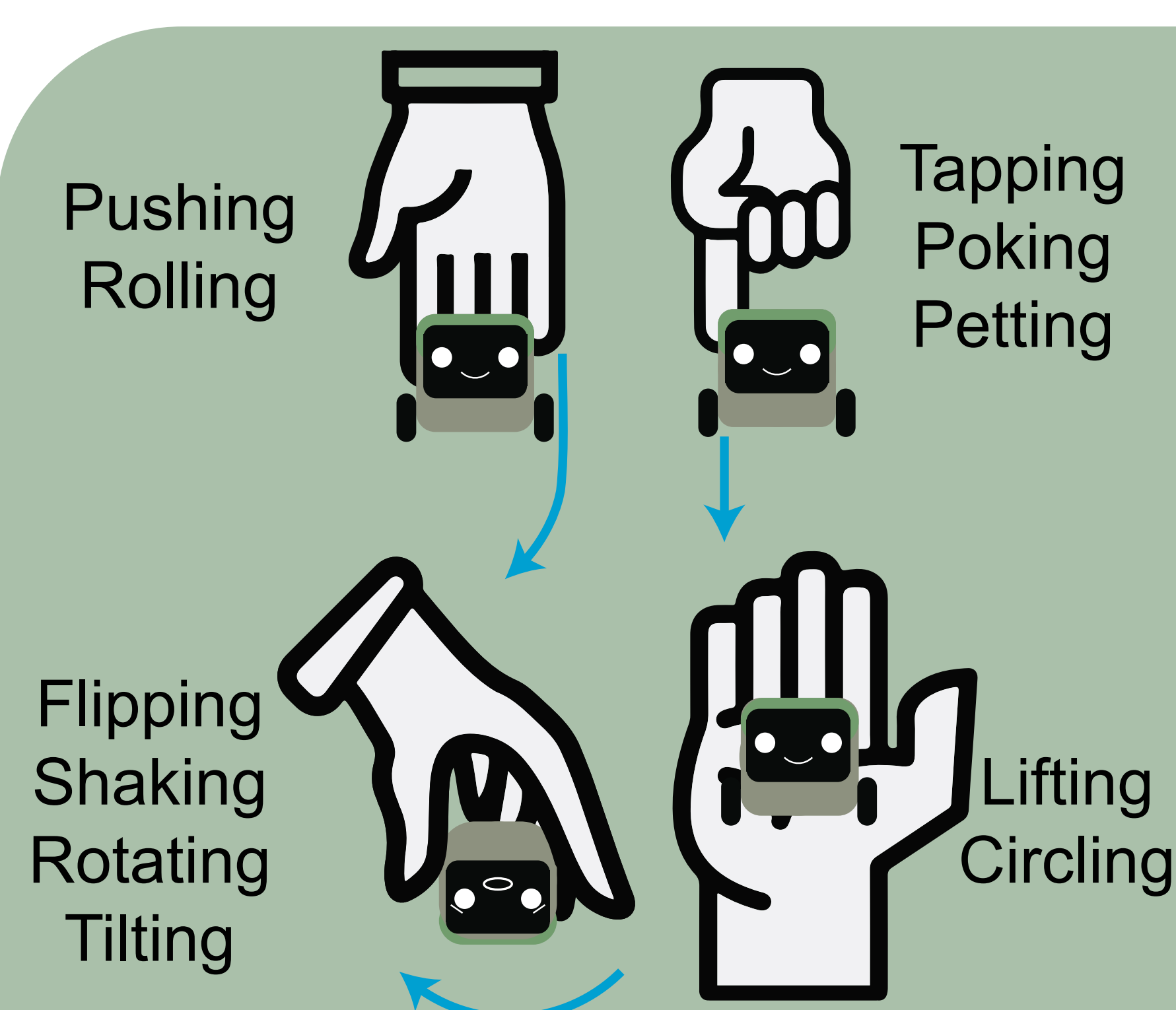
- First Cycle:** open, inductive coding
- Descriptive—How do participants talk about, characterize, and understand what is going on?
  - Process—What are participants doing? What specific means and/or strategies are they using?
- Second Cycle:** thematic coding
- Identifying patterns and groupings across descriptive and process codes.

## Results

- Nonverbal interactions**
- Pushing/rolling - 31 instances
  - Tapping/poking - 17 instances
  - Flipping/shaking - 21 instances
  - Lifting/circling - 8 instances
- Affective descriptors**
- 21 key descriptors of:
    - Emotional states (81%)
    - Facial expressions (19%)



**Fig 5.** Top affective descriptors.



**Fig 4.** Nonverbal, tactile interactions by participants.

## Conclusions

Minimal expression through **simple affective displays** can **elicit empathy**, attentiveness, and social responsiveness in human-robot interactions, even at small scales and in non-humanoid designs.



- **Empathetic Connection**  
A minimal set of cues prompted perception of emotional states.
- **Nonverbal, Tactile Interaction**  
Participants engaged through touch, handling, and movement.

## What's next?

- Reproduce the pilot study on a larger scale with video recordings to validate our initial findings.
- Test minimal design factors by examining how different modalities such as sound and light influence empathetic identification and interaction.
- Draw on the unique affordances of the robot size scale to examine grasping and handling in human-robot interaction.

